

05/31/01



Express Mail Label No. 727 459 655 US

06-01-01

PATENT APPLICATION

Docket No. 14321.31

PATENTS

TRADEMARKS

COPYRIGHTS

TRADE SECRETS

UNFAIR COMPETITION

LICENSING

COMPLEX LITIGATION

MAILING ADDRESS:

P.O. BOX 45862

SALT LAKE CITY, UT 84145

INTERNET

HOME PAGE: <http://www.wnspatent.com>

GENERAL E-MAIL: info@wnspatent.com

**WORKMAN
NYDEGGER
& SEELEY**

ATTORNEYS AT LAW

A PROFESSIONAL CORPORATION

1000 EAGLE GATE TOWER

60 EAST SOUTH TEMPLE

SALT LAKE CITY, UTAH 84111

TELEPHONE (801) 533-9800

FACSIMILE (801) 328-1707

RICK D. NYDEGGER
DAVID O. SEELEY
BRENT P. LORIMER
THOMAS R. VUKSINICK
LARRY R. LAYCOCK
JONATHAN W. RICHARDS
DAVID R. WRIGHT
JOHN C. STRINGHAM
JOHN M. GUYNN
CHARLES L. ROBERTS
GREGORY M. TAYLOR
DANA L. TANGREN
ERIC L. MASCHOFF
CHARLES J. VEVERKA
ROBYN L. PHILLIPS
RICHARD C. GILMORE†
DAVID B. DELLENBACH
KEVIN K. JOHANSON
R. BURNS ISRAELSEN
DAVID R. TODD

L. DAVID GRIFFIN
FRASER D. ROY
CARL T. REED
JESÚS JUANÓS I TIMONEDA, Ph.D.
R. PARRISH FREEMAN, JR.
PETER F. MALEN, JR.
ADRIAN J. LEE
DAVID B. TINGEY
L. REX SEARS, Ph.D.
ERIC M. KAMERATH
ROBERT E. AYCOCK
JENS C. JENKINS
KEVIN W. STINGER
WILLIAM J. ATHAY
WILLIAM R. RICHTER*
† ADMITTED ONLY IN CALIFORNIA
* ADMITTED ONLY IN PENNSYLVANIA
H. ROSS WORKMAN
OF COUNSEL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box: PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

TRANSMITTAL FOR PATENT APPLICATION

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a United States patent application entitled TEXT MINING METHOD AND APPARATUS FOR EXTRACTING FEATURES OF DOCUMENTS in the name of the following inventor(s): Ruck Thawonmas, Akio Sakamoto, Shinji Mizobuchi, and Masutatsu Yoshioka.

Enclosed are the following:

- ☒ A specification, claims, abstract, and cover page in total comprising fifty-two (52) pages.
- ☒ Twelve (12) sheets of drawings.
- ☒ A joint signature Combined Declaration and Power of Attorney.
- ☒ An Assignment conveying the invention to SSR Co., Ltd. and Kochi University of Technology, including a Form PTO 1595 recordation cover sheet.
- ☐ A Declaration Claiming Small Entity Status pursuant to 37 C.F.R. § 1.9 and § 1.27.
- ☒ The present application claims entitlement to Small Entity Status under 37 CFR §§ 1.9 and 1.27

Enclosed is a non-publication request requesting that the present application not be published.

 X A Certificate of Mailing by "Express Mail" certifying a filing date by use of Express Mail Label No. EL 727 459 655 US.

 X Priority to Japanese Application Serial No. 2000-197421 filed on June 29, 2000 is claimed under 35 U.S.C. § 119.

 X A certified copy of foreign priority application Serial No. 2000-197421 is enclosed.

 An Associate Power of Attorney.

The filing fee has been calculated as shown below.

			SMALL ENTITY		LARGE ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$355		\$710
TOT. CLAIMS	25 - 20=	5	X 9=	45	X 18=	
IND. CLAIMS	3 - 3=	0	X 40	0	X 80=	
MULTIPLE DEPENDENT CLAIM			+135=		+270=	
			TOTAL	400	TOTAL	

 X Form PTO-2038 submitting Credit Card Payment in the amount of \$440.00 is enclosed to cover:

 X The \$400.00 government filing fee.

 X The \$40.00 recordation fee of the enclosed assignment.

 Please debit Deposit Account No. 23-3178 in the amount of \$_____ for the government filing fee.

 Please debit Deposit Account No. 23-3178 in the amount of \$40.00 for recordation of the enclosed Assignment.

 X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178.

 X Any additional filing fees required under 37 C.F.R. § 1.16.

X Any patent application processing fees under 37 C.F.R. § 1.17.

X The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.

X Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

X Any patent application processing fees under 37 C.F.R. § 1.17.


— The issue fee set forth in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).

X A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 31 day of May 2001.

Respectfully submitted,


DANA L. TANGREN
Attorney for Applicant
Registration No. 37,246



022913

PATENT TRADEMARK OFFICE

DLT:dfw

G:\DATA\WPDOCS3\DFW\DLT\TANI&ABE\0521patent_trx_31.doc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

"Express Mail" Mailing Label No.: EL 727 459 655 US

Date of Deposit: May 31, 2001


CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to Box: PATENT APPLICATION, Assistant Commissioner for Patents, Washington, DC 20231:

- Patent Application in the names of Ruck Thawonmas, Akio Sakamoto, Shinji Mizobuchi, and Masutatsu Yoshioka for TEXT MINING METHOD AND APPARATUS FOR EXTRACTING FEATURES OF DOCUMENTS (52 pages)
- Drawings (12 sheets)
- Assignment with Form PTO-1595 cover sheet (6 pages)
- Combined Declaration and Power of Attorney (6 pages)
- Transmittal Letter (3 pages) (in duplicate)
- Certified copy of Japanese Application No. 2000-197421
- Form PTO-2038 submitting Credit Card Payment in the amount of \$440.00
- Postcard

Dated this 31st day of May 2001.

Respectfully submitted,



DANA L. TANGREN
Attorney for Applicant
Registration No. 37,246

WORKMAN, NYDEGGER & SEELEY
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone: (801) 533-9800

DLT:dfw

G:\DATA\WPDOCS3\DFW\DLT\TANI&ABE\0521\patent_trx_31.doc